The PGG System—User Manual

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1 Introduction

The PGG system is a partial evaluation system for the full Scheme language as defined in the R5RS report [19]. It has the following features

- offline partial evaluation using the cogen approach;
- correct specialization of imperative code;
- side effects performed at specialization time;
- modular specialization;
- no restrictions on primitives and static inputs (they are not restricted to have first-order types);
- handles eval, apply, call-with-values, and control operators correctly;
- flexible control of memoization;
- language extensions (user-defined algebraic datatypes, make-cell, cell-set!, cell-ref, cell-eq?);
- representation analysis;
- fast specialization (the system produces generating extensions);
- multi-level specialization.

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The system does not have a post-processor. This manual does not contain explanatory material about offline partial evaluation. Section 7.1 gives some pointers to relevant literature.

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2 Installation

To use the system, you first have to install the Scheme48 system [20], which is available from http://www.s48.org/. The current version 1.8 is required to run PGG.

Once you have installed Scheme48, unpack the distribution file by

```
kailua> mkdir pgg-1.4
kailua> cd pgg-1.4
kailua> zcat (path-where-you-downloaded)/pgg-1.4.tar.gz | tar xvf -
```

(with kailua> being the shell's prompt) This creates the directory pgg-1.4 in the current directory.

Next, you should build yourself an image file of the system, to speed up loading later on. To do this type:

```
kailua> cd pgg-1.4
kailua> make
(echo ",bench on"; ∖
 echo ",config,load genext-packages.scm pgg-packages.scm"; \
 for package in pgg-residual pgg ; do \setminus
 echo ",load-package $package"; \
 done ; \
 echo ",open pgg signals"; \
 echo ",open auxiliary pgg-library pgg-specialize pp"; \
 echo ",collect"; \
 echo ",dump pgg.image \"(PGG-1.2 made by $LOGNAME 'date')\""; \
 echo ",exit" ) \
| scheme48 -h 1000000
Welcome to Scheme 48 1.8 (made by thiemann on Fri Aug 8 16:50:56 CEST 2008).
Copyright (c) 1993-2008 by Richard Kelsey and Jonathan Rees.
Please report bugs to scheme-48-bugs@s48.org.
Type ,? (comma question-mark) for help.
> will compile some calls in line
> > > > > > > Before: 2527559 words free in semispace
After: 4203309 words free in semispace
> Writing pgg.image
>
kailua>
```

Next time you want to use PGG, type

kailua> scheme48 -h 6000000 -i pgg.image

to save the time spent with loading and compiling the system. You might want to put the above into a shell script. The -h parameter determines the heapsize which might have to be increased when dealing with larger programs. The pgg.image file may be moved to an arbitrary location, it is independent of the directory containing the PGG distribution.

3 First Steps

This section goes through a few examples of using PGG. It assumes that the system has been started in the pgg-1.1 directory. The subdirectory example contains the sources of all examples.

3.1 Power

One of the simplest examples is the exponentiation function power. It resides in file examples/power.scm.

To specialize it, PGG must know three things

- where to find the source program;
- the name of the entry point;
- the binding times of the parameters of the entry point.

The latter two are specified using a binding-time skeleton, i.e., a list that contains the entry point and the binding times of the parameters. In the example, '(power 1 0) is a sensible binding-time skeleton. It specifies the entry point power and the binding times 1 (dynamic) for the base x and 0 (static) for the exponent n.

```
> (cogen-driver (list "examples/power.scm") '(power 1 0))
bta-run
bta-solve
bta-solve done
'((define (specialize-$goal x2) ...
>
```

PGG's answer is the corresponding generating extension. Pretty printing yields:

```
(define (specialize-$goal x2)
  (specialize $goal '(power 1 0) (list 'x1 x2)))
(define (power x_1 n_1)
  (if (_op 0 = 0 n_1)
       (_lift 0 1 1)
       (_op 1 * x_1 (power x_1 (_op 0 - n_1 1)))))
(define ($goal x_1 n_1)
  (power x_1 n_1))
```

To use the generating extension, we need to compile it. There are several ways to do that:

```
> (define genext
    (cogen-driver (list "examples/power.scm") '(power 1 0)))
bta-run
bta-solve
bta-solve
bta-solve done
; no values returned
> (load-program genext)
; no values returned
>
```

Alternatively, we can first save the generating extension to a file and then load and compile the file.

```
> (writelpp genext "/tmp/power-10.scm")
#{Unspecific}
> (load "/tmp/power-10.scm")
/tmp/power-10.scm
; no values returned
>
```

The latter approach is recommended if the source program does not yet specialize satisfactorily. In this case, inspection of the generating extension reveals possible problems. For this reason, the syntax of the generating extension is as close as possible to binding-time annotated Scheme.

Now that we have loaded the generating extension, we are ready to specialize. This is facilitated by the **specialize-\$goal** function provided as part of the generating extension.

```
> (specialize-$goal 0)
'(power-2 x1)
> (get-residual-program)
'((define (power-2 x-3) 1))
>
```

The specializer responds with the call template for the residual program, '(power-2 x1), indicating that power-2 is the entry point of the residual program and that it takes one parameter. The specializer puts the residual program in a variable whose contents can be retrieved with the get-residual-program procedure, for further examination, compilation, or to save it to a file.

Here is a more interesting run, specializing power for n=4.

```
> (specialize-$goal 4)
'(power-2 x1)
> (p (get-residual-program))
((define (power-2 x-3)
        (let* ((mlet-11 (* x-3 1))
                    (mlet-9 (* x-3 mlet-11))
                         (mlet-7 (* x-3 mlet-9)))
                         (* x-3 mlet-7))))
```

(The function **p** invokes the pretty printer.)

This residual program looks more complicated than we expected. The reason is that PGG—by default—avoids to duplicate or to reorder residual code. This feature makes it easy to have impure (side-effecting) primitives. In the present case, we know that ***** is pure and that no code duplication arises from it. An appropriate declaration,

(define-primitive * - pure)

as provided in the file "examples/pure-arith.scm", instructs PGG that * is indeed a pure function. Now we can say

```
> (define genext
    (cogen-driver (list "examples/power.scm"
                      "examples/pure-arith.scm") '(power 1 0)))
...
> (load-program genext)
; no values returned
> (specialize-$goal 4)
```

and PGG generates the expected code:

(define (power-2 x-3) (* x-3 (* x-3 (* x-3 (* x-3 1)))))

A post-processor would have reduced the expression (* x-1 1) to x-1. This example demonstrates that there is none. It is nevertheless possible to obtain the same effect by slightly rewriting the source program. This is left as as exercise.

3.2 Lambda interpreter

This section shows a classic example, an interpreter for an applied lambda calculus with Scheme's constants, a conditional, and primitive operations. The input to the interpreter is a lambda expression, a list of free variables, and a list of values of the free variables. The following grammar specifies the concrete syntax of expressions.

```
E ::= X | (lambda (X) E) | (apply E E)
| C | (if E E E) | (O E*)
```

This interpreter employs *partially static data* to represent the environment. The environment is a list of pairs of variable name and value. The intention is that the length of the list and all variable names are static, but the values are dynamic. Traditionally¹, the Scheme built-in lists cannot be used for this, so we define a new algebraic datatype for this purpose.

```
(define-data my-list (my-nil) (my-cons my-car my-cdr))
```

This line declares the algebraic datatype my-list with constructors my-nil and my-cons (see 4.9.2). The elements of this datatype may be *partially static*, i.e., the components may have a different (higher) binding time than the structure itself. In addition, they can be memoized separately.

It is a little tedious to enter such an environment by hand, so we also supply a function that transforms a static list of names and a dynamic list of values into an environment. Finally, it calls the interpreter function **int**.

The interpreter has two local functions, int* and apply-prim. Int* evaluates a list of expressions to a list of values. Apply-prim takes a primitive operator and a list of value and returns the result. The interesting part of apply-prim is its use of eval. Eval's argument op is static, whereas the result of eval is dynamic.

(define (int exp env)
 (let loop ((exp exp))
 (define (int* exp*)
 (let recur ((exp* exp*)))

¹In partial evaluation, that is.

```
(if (null? exp*)
        '()
        (cons (loop (car exp*))
              (recur (cdr exp*))))))
(define (apply-prim op args)
  (apply (eval op (interaction-environment))
         args))
(cond
 ((constant? exp)
 exp)
 ((not (pair? exp))
  (lookup exp env))
 ((eq? (car exp) 'IF)
  (let ((test-exp (cadr exp))
        (then-exp (caddr exp))
        (else-exp (cadddr exp)))
    (if (loop test-exp)
        (loop then-exp)
        (loop else-exp))))
 ((eq? (car exp) 'LAMBDA)
  (lambda (y)
    (int (caddr exp) (my-cons (my-cons (caadr exp) y) env))))
 ((eq? (car exp) 'APPLY)
  ((loop (cadr exp))
   (loop (caddr exp))))
 (else
  (apply-prim (car exp) (int* (cdr exp))))))
```

All that's missing are two auxiliary functions, constant? and lookup, that indicate whether an expression denotes a constant and perform lookup in the environment.

```
(define (constant? e)
  (or (boolean? e)
      (number? e)
      (and (pair? e) (eq? (car e) 'QUOTE))))
(define (lookup v env)
  (let loop ((env env))
      (if (eq? v (my-car (my-car env)))
            (my-cdr (my-car env)))
            (loop (my-cdr env)))))
```

As already mentioned, the idea is that the inputs exp and names are static and that values is dynamic. So we start the binding-time analysis with

> (define genext

```
(cogen-driver (list "examples/int.scm") '(main 0 0 1)))
bta-run
bta-solve
bta-solve done
; no values returned
>
```

To load this generating extension, we need to load the define-data operation from module pgg-residual.

```
> ,open pgg-residual
> (load-program genext)
> (specialize-$goal 5 '())
'(main-2 x3)
> (p (get-residual-program))
((define (main-2 x-3) 5))
> (specialize-$goal '(+ x y) '(x y))
'(main-2 x3)
> (p (get-residual-program))
((define (main-2 x-3)
   (let* ((mlet-5 (cdr x-3))
          (mlet-7 (car x-3))
          (mlet-9 (cdr mlet-5))
          (mlet-11 (car mlet-5)))
     (+ mlet-7 mlet-11))))
> (specialize-$goal '(lambda (x) (+ x y)) '(y))
'(main-2 x3)
> (p (get-residual-program))
((define (main-2 x-3)
   (define (loop-4 mlet-3)
     (lambda (y_1-5)
       (+ y_1-5 mlet-3)))
   (let* ((mlet-5 (cdr x-3)) (mlet-7 (car x-3)))
     (loop-4 mlet-7))))
>
```

The examples demonstrate that the environment is specialized away. Only the dynamic values survive and become parameters (this is called "arity raising"). Furthermore, eval and apply have been specialized satisfactorily, as demonstrated by the last two specializations: (+ mlet-7 mlet-11) and (+ y_1 -5 mlet-3) is the corresponding residual code.

The auxiliary definition of loop-4 is introduced automatically by the specializer to avoid a non-terminating specialization. In the example, there is no danger of non-termination because the recursive calls only decompose the source expression. Hence, it is safe to turn off memoization for the function int by changing the first line of its definition to

(define-without-memoization (int exp env)
 ...)

After constructing a new generating extension, we obtain a simpler residual program.

3.3 Cyclic

This example demonstrates specialization of imperative programs.

The list cycle is completely static, but the cdr of cycle contains a reference to cycle itself. This cyclic list of ones is passed as an argument to the function zip which zips it together with a dynamic list d. Unrolling the dynamic list involves memoization, hence the specializer must memoize the cyclic structure passed as an argument to zip to avoid infinite specialization. Here is what happens.

```
#f
                               my-cons
                               1
                               (_make-cell_memo 0
                                                 3
                                                 0
                                                 (_ctor_memo 0
                                                             ()
                                                             #f
                                                             my-nil)))))
     (_message!_memo 0 (_s_t_memo 0 my-cdr cycle_1) cell-set! cycle_1)
     (zip d_2 cycle_1)))
 (define (zip d_1 s_1)
   (multi-memo 1 1 'zip-2 zip-2 #f '(1 0) (list d_1 s_1)))
 (define (zip-2 d_1 s_1)
   (_if 1
        (_op 1 null? d_1)
        (_lift 0 1 '())
        (_op 1
             cons
             (_op 1 cons (_op 1 car d_1) (_lift 0 1 (_s_t_memo 0 my-car s_1)))
             (zip (_op 1 cdr d_1)
                   (_s_t_memo 0 cell-ref (_s_t_memo 0 my-cdr s_1))))))
 (define ($goal d_2)
   (main d_2)))
>
```

The function _ctor_memo constructs the memoized representation of a constructor. Its first argument is the binding time of the structure itself, its second argument is the list of binding times of the components (all 0 in this case). _make-cell_memo constructs a memoized reference cell, the first argument is the binding time of the address and the next argument 3 is the unique label of the corresponding make-cell operation in the source program. _s_t_memo accesses or tests memoized data objects, the implementation handles them all uniformly.

The operation _define-data serves to transfer the datatype definition to the residual program.

To load this generating extension, we need to make the define-data operation available.

```
> ,open pgg-residual
Load structure pgg-residual (y/n)? y
[pgg-residual
cogen-ctors.scm ]
```

```
Newly accessible in user: (define-data)
> (load-program genext)
> (specialize-$goal)
'(main-2 x1)
> (p (get-residual-program))
((define (main-2 x-3)
   (define (zip-4 x-3)
     (let ((mlet-5 (null? x-3)))
       (if mlet-5
           , ()
           (let* ((mlet-11 (car x-3))
                   (mlet-9 (cons mlet-11 1))
                   (mlet-13 (cdr x-3))
                   (mlet-15 (zip-4 mlet-13)))
             (cons mlet-9 mlet-15)))))
   (zip-4 x-3)))
```

The cyclic structure vanishes on specialization. The construction of the pair $(x \ . \ 1)$ is implemented by (cons mlet-11 1).

3.4 Guide to the other examples

• examples/2lazy.scm a two-level interpreter for a lazy first-order language, implements updatable closures using references.

```
> (define genext
      (cogen-driver (list "examples/2lazy.scm") '(lazy-2int 0 0 0 1)))
> (load-program genext)
```

The parameters of (lazy-2int prg goal xs* xd*) are

- prg the program;
- goal the entry point of prg (a symbol);
- xs* the static parameters;
- xd* the dynamic parameters.

The static parameters may include *configuration variables* of the form $(CV \ i)$ which refers to the *i*th dynamic parameter.

To perform specialization, we need to load some auxiliary functions

(load "examples/2lazy-support.scm")

It contains the example programs <code>lazy1</code> and <code>lazy2</code>. Example calls of the specializer include

```
> (specialize $goal '($goal 0 0 0 1) (list lazy1 'f '(42) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy1 'f '((CV 1)) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy2 'f '((CV 1) (CV 2) (CV 3)) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy2 'f '((CV 1) (CV 2) 13) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy2 'f '((CV 1) 7 11) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy2 'f '(#t (CV 1) (CV 2)) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy2 'f '(#t (CV 1) (CV 2)) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy2 'f '(#f (CV 1) (CV 2)) 'DYN))
> (specialize $goal '($goal 0 0 0 1) (list lazy2 'f '(#f (CV 1) 17) 'DYN))
```

- examples/app.scm contains the append function for lists.
- examples/dotprod.scm compute the scalar product of three vectors. This is an example for multi-level specialization.

This answer indicates that the residual program is again a generating extension, which can be loaded and specialized further. Let's have a look

```
> (p (get-residual-program))
((define (dotprod-2 x-7 x-5 x-3)
   (let* ((mlet-15 (car x-7))
          (mlet-17 (_op 1 car x-5))
          (mlet-13 (_op 1 * (_lift0 1 mlet-15) mlet-17))
          (mlet-19 (_op 2 car x-3))
          (mlet-11 (_op 2 * (_lift 1 1 mlet-13) mlet-19))
          (mlet-21 (cdr x-7))
          (mlet-23 (_op 1 cdr x-5))
          (mlet-25 (_op 2 cdr x-3))
          (mlet-33 (car mlet-21))
          (mlet-35 (_op 1 car mlet-23))
          (mlet-31 (_op 1 * (_lift0 1 mlet-33) mlet-35))
          (mlet-37 (_op 2 car mlet-25))
          (mlet-29 (_op 2 * (_lift 1 1 mlet-31) mlet-37))
          (mlet-39 (cdr mlet-21))
          (mlet-41 (_op 1 cdr mlet-23))
          (mlet-43 (_op 2 cdr mlet-25))
          (mlet-27 (_op 2 + mlet-29 (_lift0 2 0))))
     (_op 2 + mlet-11 mlet-27))))
```

This time, we have to *load the residual program* to continue specializing. The answer from the previous specialization step tells us the name dotprod-2 of the entry point.

```
> (load-program (get-residual-program))
> (specialize dotprod-2 '(dotprod-2-1 0 1 2) '((111 222) v2 v3))
'(multi-memo 1 1 'dotprod-2-1 dotprod-2-1 #f '(0 1) (list v2 v3))
> (load-program (get-residual-program))
> (specialize dotprod-2-1 '(dotprod-2-1-1 0 1) '((333 444) v3))
'(dotprod-2-1-1 v3)
> (p (get-residual-program))
((define (dotprod-2-1-1 v-3)
   (let* ((mlet-5 (car v-3))
          (mlet-7 (* 36963 mlet-5))
          (mlet-9 (cdr v-3))
          (mlet-11 (car mlet-9))
          (mlet-13 (* 98568 mlet-11))
          (mlet-15 (cdr mlet-9))
          (mlet-17 (+ mlet-13 0)))
     (+ mlet-7 mlet-17))))
```

This is the final specialized program after three steps.

• object a class of counter objects. A mini-example with state.

```
> (define genext (cogen-driver (list "examples/object.scm") '(main)))
> (load-program genext)
```

- > (specialize-\$goal)
- pm Olivier Danvy's pattern matcher [7]

```
> (define genext (cogen-driver (list "examples/pm.scm") '(match 0 1)))
```

• unify imperative unification of terms where variables are implemented by references.

```
> (define genext (cogen-driver (list "examples/unify.scm") '(main 0 1)))
> (load-program genext)
> (specialize-$goal '(cst 555))
> (specialize-$goal '(var 555))
> (specialize-$goal '(bin (var 1) (var 1)))
> (specialize-$goal '(bin (var 1) (bin (cst 4711) (var 1))))
```

3.5 Specialization of modular programs

As an advanced feature, it is possible to encapsulate the generating extension in a module. We recap the example of the **power** function to illustrate it. In addition to the usual parameters for **cogen-driver** we need to specify a filename for the output.

This command generates two files:

- /tmp/power1.scm contains the code of the generating extension (pretty printed) and
- /tmp/power1.config.scm contains the declarations for the interface and the structure of the generating extension. For the example, PGG generates the following declarations:

```
(define-interface
  power1-interface
  (export $goal))
(define-structure
  power1
  power1-interface
  (open scheme signals define-data pgg-library)
  (files power1))
```

To use the generating extension from this module, we need to make Scheme48 aware of it.

```
> ,config,load /tmp/power1.config.scm
/tmp/power1.config.scm
>
```

Now the system can load and compile the module, just by referencing it with its name.

```
> ,open power1
Load structure power1 (y/n)? y
[define-data cogen-ctors.scm]
[power1 /tmp/power1.scm]
>
```

Finally, we can specialize in the same way as before.

```
> (specialize $goal '($goal 1 0) '(x 0))
'($goal-1 x)
> (get-residual-program)
'((define ($goal-1 x-1) 1))
>
```

Section 4.10.1 in the reference part lists a number of options to gain more control over the module declaration.

3.6 Specialization with respect to indexed data

It is possible to split the static data into an indexed set of data fragments. The main catch is that only one particular indexed value is available to each single run of the specializer, the current world. The specializer can request arbitrary elements (worlds) from this set using a special construct. If the request concerns the current world then the specializer continues right away. Otherwise, it checks the memoization cache. If the requested world has already been seen in the past, it might be possible to resolve the request. Otherwise, the specializer generates a new memoization point which waits until the requested world becomes available to the specializer, possibly for the second time.

The most striking application for this feature is the separate compilation of modular programs by specializing an interpreter. In this application, the index values are the names of modules and the standard semantics of the special construct is to load the module's text into memory.

An an example, we consider the compilation of a simple register machine language. Here is an example session.

```
> (load "examples/modint-examples.scm")
examples/modint-examples.scm
> (p module1)
((add (jz 1 copy)
        (decr 1)
        (incr 0)
        (jump add))
(finis))
> (p module2)
((copy (jz 2 test)
        (incr 1)
        (decr 2)
```

```
(jump copy))
(test (jz 1 finis)
    (jump add)))
```

The main function of the interpreter for this register-machine language accepts four parameters, a function that maps a label to a module name, modulename-of, the entry label, name, the number of registers, nargs, and the initial contents of the registers, initial_args. The name and nargs inputs are known statically, the other inputs are dynamic.

Specialization stops right before loading the first module. So far, it generated code for transferring the input list into the registers:

```
> (p (get-residual-program))
((define (main-1 x-2 x-1)
    (let* ((mlet-3 (car x-1))
            (mlet-4 (cdr x-1))
            (mlet-5 (car mlet-4))
            (mlet-6 (cdr mlet-4))
            (mlet-7 (x-2 'add)))
    (jump-global-2 x-2 mlet-3 mlet-5))))
```

The call to jump-global-2 refers to code that will be generated as soon as the next module becomes available. This fact is signalled to the system via the continue function.

> (continue 'mod1 module1)

At any point between invocations of **continue** it is possible to suspend the state of specialization to a file. The corresponding command is

```
> (suspend "/tmp/suspended.scm")
```

Another, later session with pgg can resume this specialization after loading the generating extension and reading the suspended file using resurrect.

```
> (load "/tmp/modint0.scm")
> (load "examples/modint-examples.scm")
> (resurrect "/tmp/suspended.scm")
#t
> (continue 'mod2 module2)
> (continue 'mod1 module1)
```

The last two calls to **continue** complete the specialization of the interpreter of modular register machine programs.

The file modint-mutual.scm contains a more sophisticated implementation that compiles each module only once. Here is a transcript:

Here is the startup code for the compiled program:

```
> (p (get-residual-program))
((define (main-1 x-2 x-1)
        (let* ((mlet-3 (car x-1))
                (mlet-4 (cdr x-1))
                (mlet-5 (car mlet-4))
                (mlet-6 (cdr mlet-4))
```

```
(mlet-7 (car mlet-6))
 (mlet-8 (cdr mlet-6)))
(case x-2
 ((add) (jump-2 mlet-3 mlet-5 mlet-7))
 ((finis) (jump-3 mlet-3 mlet-5 mlet-7))
 ((copy) (jump-4 mlet-3 mlet-5 mlet-7))
 (else (dyn-error "Unknown name"))))))
```

Here is the code for the first module:

```
> (continue 'mod1 module1)
> (p (get-residual-program))
((define (jump-2 mlet-3 mlet-2 mlet-1)
    (if (zero? mlet-2)
        (jump-4 mlet-3 mlet-2 mlet-1)
        (jump-2 (+ mlet-3 1) (- mlet-2 1) mlet-1)))
(define (jump-3 mlet-3 mlet-2 mlet-1)
    mlet-3))
```

Here is the code for the second module:

```
> (continue 'mod2 module2)
> (p (get-residual-program))
((define (jump-5 mlet-3 mlet-2 mlet-1)
    (if (zero? mlet-2)
        (jump-3 mlet-3 mlet-2 mlet-1)
        (jump-2 mlet-3 mlet-2 mlet-1)))
(define (jump-4 mlet-3 mlet-2 mlet-1)
    (if (zero? mlet-1)
        (jump-5 mlet-3 mlet-2 mlet-1)
        (jump-4 mlet-3 (+ mlet-2 1) (- mlet-1 1)))))
```

The input for this section, along with one more example, can be found in file examples/sample_modules_session.scm.

4 Reference manual

4.1 Notation

The syntax definition uses an extended BNF where all symbols are terminals, except

- nonterminal symbols are capitalized;
- ::=, |, *, +, [,] are metasymbols with the usual meaning (definition, alternative, zero or more repetitions, one or more repetitions, begin of optional part, end of optional part).

4.2 Type system

The type system is the system of simple types with a \top type and recursion. The type language comprises the types

- basic, for every expression that *never* evaluates to a function or an element of an algebraic datatype as defined by define-data;
- $[\tau_1, \ldots, \tau_n] \to \tau_0$, for every expression that *always* evaluates to a function;
- $TC[\tau_1, \ldots, \tau_n]$, for every expression that *always* evaluates to an element of the algebraic datatype named TC (the τ_i are the types of the arguments of the constructors in some unspecified fixed order);
- ⊤, for every expression that cannot be given one of the other types, in particular for an expression that may evaluate
 - to a function and also to some non-function value;
 - to an element of datatype TC and also to an element of a different datatype TC' or an element of a non-algebraic type.

The PGG system performs type inference for this system using Henglein's algorithm [14]. Due to the presence of recursive types, the result of the type inference is a graph where each node is annotated with a type constructor.

4.3 Binding-time analysis

The binding-time analysis assigns a binding-time to each node in the type graph and ensures that the binding-time assignment is well-formed. Well-formedness of such a binding-time assignment B means that the annotation

on a type node is always less than or equal to the annotations on the direct descendants of that node. A node of type \top is well-formed if it assumes the maximum possible binding time (it is kept dynamic throughout all stages of specialization). That means that potential type clashes are postponed to the last stage of running the program.

The binding-time analysis inserts a lift-expression on top of each expression of basic type that occurs as

- the argument of a primitive operations;
- the argument of a function;
- the "then" or "else" arm of a conditional; or
- the argument of a data constructor.

A lift-expression injects a value computed at specialization time into the residual program.

4.4 **Primitive operations**

For primitive operations, the binding time analysis imposes a second set S of binding-time annotations on the nodes of the type graph. The well-formedness criterium for them has two aspects. First, the S annotation is always greater than or equal to the B annotation. Second, the S annotation of a node is greater than or equal to the S annotations of each direct descendant node in the type graph. For each expression that performs a primitive operation, the specializer requires that all arguments are *leveled*. That is, the binding time analysis enforces that the S and B annotations of all arguments are equal. In consequence, all argument computations take place at the *same* binding time (see [31]).

This restriction makes it safe to allow primitive operations to have functions as arguments.

4.5 Representation analysis

PGG performs a representation analysis that assigns to each node in the type graph yet another binding time M. The M annotation of a type is wired in such a way that it reflects the maximum level +1 at which expressions of that type will be subject to memoization, 0 if they are never memoized. For example, an expression of a type with an M value of 0 will never be memoized. All those expressions will use the standard representation of

values of that type. If the B annotation is zero and the M value is greater than zero, the memoized representation will be used, which incurs a runtime overhead. The general condition is that expressions with B < M use the memoized representation and the others use the standard representation.

Unfortunately, there is a catch: if a type is memoized and at the same time required to be leveled then the type must assume the maximum binding time. This is, because primitive operations cannot deal with the memoized representation. The catch is that we now have a cyclic dependency: B implies the placement of memoization points, which implies a setting of M, which implies a deteriorated setting of B, which implies the placement of more memoization points, and so on.

4.6 Memoization

PGG automatically inserts memoization points on top of dynamic conditionals and on top of dynamic lambdas. However, this only happens if the branches of the dynamic conditional or the body of the dynamic lambda contains a control transfer at specialization time, i.e., a static function call. Furthermore, if several of these are nested in an expression, only the outermost receives a memoization point. This is a slight refinement of the standard strategy [3, 2].

It is possible to turn this feature off for a given function by defining it using define-without-memoization (see 4.9.1). In any case, memoization points can be defined and inserted manually (see 4.9.5).

4.7 Special expressions

4.7.1 eval

The binding-time analysis and the specializer treat eval specially. The binding-time analysis enforces that the argument of eval is leveled. The result type is also leveled and it may have any binding time that is greater than or equal to the argument's binding time.

If the binding times are equal then the **eval** function is called at the specified level. If the binding times differ by one then the specializer simply drops the static argument value into the residual program. Otherwise, the specializer preserves the static argument for the next level and decrements the binding-time difference.

4.7.2 apply

If a function is declared as the apply function (see 4.9.4) then the specializer uses a special postprocessor that transforms expressions of the form

(apply f (cons x1 (cons x2 (cons x3 ...))))

into

(f x1 x2 x3 ...)

To this end, it is necessary to declare cons as pure (see 4.9.4).

4.7.3 lambda-poly

In addition to the standard lambda abstraction there is a polyvariant memoizing abstraction operator.

E ::= (lambda-poly (V*) E*)

The specializer treats a dynamic lambda-poly just like an ordinary lambda. A static lambda-poly specializes to a vector of all required specializations of the abstraction. The specializer constructs a partially static value consisting of a memoization map and a reference to the vector. Both, the vector and the memoization map, are initially empty. Whenever the specializer applies a lambda-poly it looks up the static skeleton of the arguments in the memoization map. If a specialization for this skeleton is already present in the map, it constructs a reference to the corresponding position in the vector. Otherwise, it extends the memoization map, constructs a new specialization of the lambda-poly, and inserts it into the vector.

This construct implements a first-class memoization mechanism and it could be used to replace the usual memoization.

4.8 Predefined operators

These operators can be used in source programs.

The module cogen-boxops exports the following operators that manipulate references (boxed values):

(make-cell exp)

allocates a new mutable reference cell which initially contains the value of exp. Returns the reference to the new cell.

(cell-ref exp)

returns the value stored in the referenced cell if exp evaluates to a reference.

(cell-set! exp1 exp2)

stores the value of exp2 in the cell referenced by exp1 provided this value is a reference. The return value is unspecified.

4.9 Directives

The directives are only allowed at the top-level of a source program.

4.9.1 define-without-memoization

Define procedure P. The specializer does not to automatically insert memoization points in the body of P.

4.9.2 define-data

Define the algebraic datatype TC with constructors C and selectors Ci. In addition, constructor test operations C? are defined. The name TC is used during type checking to check for equality of types.

For example, the declaration

```
(define-data list
  (nil)
  (cons car cdr))
```

defines the constructors nil (nullary) and cons binary, the constructors tests nil? and cons?, and the selectors car and cdr.

The binding-time analysis considers algebraic datatypes as partially static, i.e., the arguments of a constructor can have a different (higher) binding time than the constructor itself. In such cases, the specializer performs arity raising when appropriate. The constructors, selectors, and test operations are binding-time polyvariant, i.e., each use of such an operation may have a different binding-time pattern.

The second form of **define-data** declares a datatype whose elements are ignored by the memoization mechanism. This is a potentially dangerous feature because it can change the meaning of a program during specialization by cheating the memoization mechanism.

4.9.3 define-type

D ::= (define-type (P B*) B)

Declares the arity of primitive operation P. The actual values of the Bs are currently ignored.

Example:

(define-type (cons * *) *)

declares the operator cons of arity 2.

A variable which is declared as an operator but does not occur at operator position in an expression is eta-expanded by the frontend according to its declaration.

4.9.4 define-primitive

D ::= (define-primitive O T [dynamic|error|opaque|pure|apply|Number])

Declares the operator **O** of type **T** with an optional property.

The parameter T declares the type of the operator. It can be either -, indicating that the type of O is not restricted, or it can specify a polymorphic type for O. The grammar is as follows:

T ::= - | TO TO ::= (all TV TO) | (rec TV TO) | (TC TO*) | TV

Here, TV stands for a type variable (an arbitrary symbol) and TC stands for a type constructor (an arbitrary symbol). The syntax, (all TV T0), declares that variable TV is all-quantified in T0, like $\forall alpha.\tau_0$. The syntax, (rec TV T0), declares a recursive type, like $\mu\alpha.\tau_0$. The remaining cases are type constructor application and occurrence of a type variable. For convenience, the function type constructor, ->, is treated specially. Writing (-> t1 ... tn t0) declares a Scheme function that takes n parameters (n \geq 0) and delivers a result of type t0.

The properties dynamic and opaque are synonyms. Each of them forces the binding time of **O**'s result to be dynamic. The property **error** advises the binding-time analysis that the result of the operation can assume any type whatsoever (because an error primitive raises an exception and never returns a value) and that its binding time is determined from the binding times of the arguments as with any primitive operation. The remaining properties advise the specializer what to do when it residualizes the operator. The **pure** property states that the operator does not have side effects. Instead of creating a let-binding for the expression $(O \ V*)$, the specializer will treat it as a value, potentially discarding or duplicating the expression. The apply property states that the operator O is the apply function as defined in the Scheme standard.

If the property is a Number it declares the least binding time of the operator.

4.9.5 define-memo

```
D ::= (define-memo M Number [Active])
| (define-memo M Number 'deferred)
```

Defines M as a unary operator indicating a memoization point at level Number. This is also the binding time of the memoization point. For two-level specialization this number is 1. Useful in connection with define-without-memoization. The optional parameter Active defines the minimum level of specialization at which the specialization point is active. The default is 0, that is, the specialization point is always active. Useful in connection with multi-level specialization if the same program is specialized with different levels.

Both parameters, Number and Active may be integer expressions using the free variable max-level, which is bound to the maximum binding-time presently in use.

Example: Similix defines the operator <u>_sim-memoize</u> as an indicator for memoization points. To achieve the same behavior in PGG requires the following declaration.

(define-memo _sim-memoize 1)

The second form of the directive declares an operator to construct deferred memoization points. An applied occurrence of a deferred memoization point has the form

(M V E)

When specialization hits upon a deferred memoization point, it extracts the static skeleton and looks it up in a secondary cache. Just as with standard memoization points, it creates a function call to a specialized version of E. The difference is that the specialization of E depends on a future value of V, so it must be deferred until the value of V becomes available.

4.9.6 load

D ::= (load Filename)

includes the contents of the file named by the string Filename into the program. The included file may contain additional loads, without limit on the nesting level. The Filename argument is always interpreted relative to the current directory that Scheme48 is running in.

4.9.7 begin

D ::= (begin D*)

As in Scheme, top-level definitions may appear nested inside a begin. This is handy if a macro is to expand to more than one definition.

4.10 Commands

This section summarizes the available top-level commands of the PGG system.

4.10.1 Creating a generating extension

The main entry point of the system is the function cogen-driver. It takes the following parameters

(cogen-driver InputSpec BindingTimeSkeleton)

where

- InputSpec is either a single string specifying the name of a "jobfile" that contains a list of filenames, or a list of strings each of which specifies a filename: the source program is the content of all these files concatenated together.
- BindingTimeSkeleton is a list where the first element is a symbol denoting the main function of the source program and the remaining elements are binding times for the parameters of the main function. The main function must have exactly as many parameters as the BindingTimeSkeleton indicates.

A binding time is a non-negative integer, with 0 denoting static. The PGG system assumes that the binding time of the result of the main function is

the maximum of 1 and the binding times of the function's parameters, i.e., the BindingTimeSkeleton.

The result of calling **cogen-driver** is a generating extension, i.e., a list of Scheme definitions that can be loaded and run.

This function is defined in module pgg. If it is not accessible try

> ,open pgg

at the top-level Scheme 48 prompt.

A number of optional parameters may be specified after the BindingTime-Skeleton argument. They allow the generation of the generating extension as a Scheme48 module. The following options are recognized.

- (goal SYMBOL) specifies that SYMBOL will be the name of the specialization entry point (i.e., the first parameter to specialize) of the generating extension.
- (export SYMBOL ...) adds the listed SYMBOLs to the export list of the generated module.
- (open SYMBOL ...) considers the listed SYMBOLs as module names that are to be opened to run the generating extension.
- (files SYMBOL ...) considers the listed SYMBOLs as names of files that will be included in the generating module.
- (SYMBOL ...) is included as an option line in the structure declaration for the generating module.
- STRING the name of the file where the module should be written. Must be the last; subsequent options are ignored. Writes the files STRING.scm and STRING.config.scm, after stripping any extension from STRING.

4.10.2 Running a generating extension

The function **specialize** is the human interface to running a generating extension. It has two forms.

(specialize GenextMain BindingTimeSkeleton ARGS)

and

(specialize BindingTimeSkeleton ARGS NEW-GOAL)

where

- GenextMain is the main function of the generating extension,
- BindingTimeSkeleton is a list where the first element is a symbol denoting the *name* of main function of the generating extension, and the remaining elements are binding times its parameters. The list of binding times must be identical to the one given to cogen-driver when creating this generating extension.
- ARGS is the list of arguments to the generating extension. Its length must be equal to the number of binding times supplied in the Binding-TimeSkeleton. The positions corresponding to 0 entries in the skeleton contain the currently static arguments. The positions corresponding to other entries in the skeleton must contain symbols, they are used as stubs for generating identifiers.
- (optional argument) NEW-GOAL is the name of the entry point for the specialized program. If it is not specified, PGG invents one for you, but admittedly not a very original one.

The result is a call template for the specialized function, a list consisting of the name of the function and of names of the arguments. The residual program can be retrieved via (get-residual-program). It is a list of Scheme definitions. Furthermore, the variable *support-code* contains additional code, for example data definitions that are necessary to run the specialized program.

If the returned call template has the form

'(multi-memo Level 'Goal Goal Bts Args)

then we have done one step of a *multi-level specialization* [13]. It means that the residual program is again a generation extension where Level is a number, Goal is the name of the entry point, Bts are the binding times of the arguments, and Args is a list of symbols of the same length. It can be loaded as usual and specialized again with specialize by constructing the BindingTimeSkeleton from Goal and Bts.

This function is defined in module pgg-residual. If it is not accessible try

> ,open pgg-residual

at the top-level Scheme 48 prompt.

4.10.3 Continuing a specialization

The function **continue** continues a specialization that has been suspended at a deferred memoization point.

(continue Name Arg)

The parameter Name identifies the index that the specialization waits for. It is used to match the index value in pending deferred memoization points. The example in Section 3.6 uses the symbols 'mod1 and 'mod2 for this purpose.

The parameter Arg is the indexed value. This is a value of base type and its representation is completely up to the programmer. The example in Section 3.6 uses the empty list to indicate an empty world.

4.10.4 Suspend a deferred specialization

The function **suspend** writes the current memoization cache and the cache of deferred specializations to a file.

(suspend Filename)

The Filename parameter indicates the name of the file in which the memoization cache and the deferred cache are stored.

4.10.5 Resurrect a deferred specialization

The function **resurrect** installs a memoization cache and deferred cache from a file. It sets up the system to continue a previously suspended specialization.

(resurrect Filename)

The Filename parameter indicates the name of the file in which the memoization cache and the deferred cache are stored.

Returns #t if the file was successfully read. Otherwise, it returns #f.

4.11 Settable options

These options are accessible in module cogen-globals except where otherwise noted. Some of them only make sense for programmers who want to use the frontend separately.

• (set-bta-display-level! n) Default: 1.

Display output from the binding-time analysis, $0 \le n \le 4$. 0 means no output.

• (set-effect-display-level! n) Default: 1.

Display output from the effect analysis. 0 means no output.

• (set-scheme->abssyn-let-insertion! v) Default: #f.

Instruct the frontend to insert let expressions for the bound variables of lambdas and definitions.

Useful if the frontend is to be used in other projects.

• (set-memo-optimize! v) Default: #t.

Optimize the representation of functions and algebraic datatypes. Use expensive memoized representation only for data that actually passes a memoization point.

• (set-abssyn-maybe-coerce! v) Default: #t.

Instructs the frontend to insert provisional lift expressions at certain places. The backend eliminates these later on if they are useless. Can be turned of for using the frontend separately.

• (set-generate-flat-program! b) Default: #f.

Instructs the generating extension to produce flat programs. By default, the residual programs have exactly one top-level definition, all others are nested inside and invisible to the outside.

• (gensym-ignore-name-stubs!) (module cogen-gensym)

Instructs the generating extension to ignore name stubs when generating fresh symbols.

• (gensym-use-name-stubs!) (module cogen-gensym) Default.

Instructs the generating extension to use provided name stubs wherever possible.

• (set-memolist-stages! n) Default: 0.

Set optimization level for memoization table. If set to n then memoization uses n cascaded association lists, indexed by the first n elements of the static projection at a memoization point.

• (set-lambda-is-pure! v) Default: #t.

The code generator considers lambda abstractions as pure values if this flag is set.

• (set-lambda-is-toplevel! v) Default: #f.

Generate a toplevel function for each memoized lambda abstraction if set. Required for **suspend** and **resurrect** to work properly.

4.12 Utilities

Pretty printing is available through function p in module pretty-print. The function takes one parameter, the expression that is to be prettyprinted.

The function (writelpp LIST FILE) writes the list LIST to file FILE applying the pretty printer to each element of the list. It is defined in module auxiliary.

The contents of modules are generally available by typing

> ,open Modulename

to the top-level command line interpreter of Scheme 48.

5 Differences to Scheme

PGG assumes a declarative semantics: the order of a sequence of top-level definitions does not matter, even if they are spread over several files.

PGG implements R5RS macros with the restriction that macros defined by let-syntax and letrec-syntax cannot expand to macro definitions.

6 Reading a generating extension

For debugging purposes it is sometimes helpful to read the generating extension, because it is a representation of the binding-time annotated program. Besides standard Scheme constructs it contains the following kinds of expressions, most of which are implemented as macros in module pgg-library. The semantics of the ellipsis ... is the same as in the syntax-rules patterns of Scheme [19], zero or more repetitions of the preceding item. The list is sorted alphabetically.

- (multi-memo level fname fct bts args) denotes a memoization point at level level, fname is a symbol specifying the name of the generating function to run, fct is the function itself, bts is a list of binding times describing the arguments of the function, args is the list of arguments (must have the same length as bts)
- (_app lv f a ...) application of non-memoized function f to arguments a ... at level lv
- (_app lv f a ...) application of memoized function f to arguments a ... at level lv
- (_begin lv bl e1 e2) a begin at level lv, bl is the binding time of e1
- (_cell-set!_memo lv ref arg) updates a memoized reference cell ref at level lv with value arg
- (_cell-eq?_memo lv ref1 ref2) tests two memoized reference cells ref1 and ref2 for equality at level lv
- (_ctor_memo lv (bt ...) ctor arg ...) creates a memoized object with constructor ctor, lv is the binding time of the structure, (bt ...) are the binding times of the arguments arg ...
- (_eval lv diff body) the body becomes available at level lv then it is delayed for diff levels
- (_freevar lv arg) a free variable arg at level lv
- (_if lv bl e1 e2 e3) the conditional at level lv, bl is the binding time of the branches e2 and e3, e1 is the condition
- (_lift0 lv val) delay the value val to level lv
- (_lift lv diff value) the value becomes available at level lv, then it is delayed for another diff levels
- (_lambda lv v* e) non-memoized lambda abstraction at level lv, formals v*, body e
- (_lambda_memo lv arity label fvs bts f) memoized lambda abstraction at level lv, arity is a list of symbols serving as stubs for variable names, label is the unique label of the lambda, fvs is a list

of the (values of the) free variables, **f** is a function that maps the values of the free variables and the variable names generated from **arity** to a new body

- (_make-cell_memo lv lab bt arg) creates a memoized reference cell at level lv, with unique label lab, bt is the binding time of the argument arg
- (_op lv op arg ...) the operator op applied to arg ... at level lv
- (_op_pure lv op arg ...) the pure operator op applied to arg ... at level lv
- (_s_t_memo lv sel v) a selector or test for a memoized datastructure at level lv, sel is the selector or test function, v is the argument
- (_vlambda lv (fixed-var ...) var body) same as _lambda, but for variable arity; the list of fixed-var names the obligatory arguments and var names the optional argument list
- (_vlambda_memo lv fixed-vars var label vvs bts f) memoized lambda abstraction with variable arity functions, see _lambda_memo and _vlambda for explanation

7 Technical background

7.1 Partial evaluation in general

Good starting points for the study of partial evaluation are Jones, Gomard, and Sestoft's textbook [18], Consel and Danvy's tutorial notes [6], Mogensen and Sestoft's encyclopedia chapter [23], and Gallagher's tutorial notes on partial deduction [12]. Further material can be found in the proceedings of the Gammel Avernæs meeting (PEMC) [1, 11], in the proceedings of the ACM conferences and workshops on Partial Evaluation and Semantics-Based Program Manipulation (PEPM) [15, 4, 25, 27, 24, 5, 8], and in special issues of various journals [16, 17, 21, 28]. A comprehensive volume on partial evaluation appeared in the Lecture Notes of Computer Science series [9]. Sestoft maintains an online bibliography [26].

The above paragraph is taken from the introduction to the 1998 Symposium on Partial Evaluation [10] which is a collection of concise articles characterizing the state of the art, stating challenging problems, and outlining promising directions for future work in partial evaluation.

7.2 Directly related publications

The following publications explain various parts of the PGG system.

- Cogen in Six Lines [29] explains how to derive a handwritten multilevel cogen from a multi-level specializer and applies this to the construction of a continuation-based handwritten multi-level cogen. This is done in continuation-passing style and in direct style with control operators.
- Towards Specialization of Full Scheme [31] explains the specialization of eval, apply, and call/cc. It relies on a binding-time analysis that allows for higher-order primitive operations.
- Implementing Memoization for Partial Evaluation [30] gives details about the implementation strategy for partially static values in PGG.
- Correctness of a Region-Based Binding-Time Analysis [32] defines and proves correct a binding-time analysis for a lambda calculus with side-effects.
- Sound Specialization in the Presence of Computational Effects [22] defines a specialization calculus based on Moggi's computational lambda calculus and shows how to implement it. This calculus is the basis of PGG's specialization algorithm.

7.3 Structure of the implementation

The frontend of PGG is similar to the frontend of a Scheme compiler.

The first pass renames all variables, expands macros, expands backquotes, transforms named lets to letrecs, and collects mutable variables. The second pass performs assignment conversion, eliminating all (set! v e) operations in favor of (cell-set! v e'), replacing all uses of v by (cell-ref v), and changing the definition of v accordingly. The third pass performs lambda lifting. The fourth pass transforms to abstract syntax and performs eta expansion.

The next phase is binding-time analysis. It consists of type inference, effect analysis (if cell-set! and friends have been used), construction of the binding-time constraints, solution of the constraints, and the introduction of memoization points.

Finally, the backend produces the generation extension.

8 Known problems

- Syntax errors are not dealt with gracefully.
- Do not use identifiers that end with ([-_][0-9]+)+ (interpreted as a regular expression for the **regexp** library) for procedures and global variables.

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